

Attachment 4 – Business References

CONTRACT NUMBER: TRE-CPM-21101 - DEFERRED COMPENSATION PLAN RECORDKEEPING AND ADMINISTRATIVE SERVICES

List a minimum of four business references. At least three (3) of the references should be from government entities that most resemble the relationship you envision with the State. Business references should include the following information:

- Business name and mailing address
- Contact name, phone number and email address
- Number of years doing business with
- Type of work performed

Please do not list any entity, officer or employee of this State as a business reference.

Business Name	City and County of Honolulu
Mailing Address	530 S. King Street, Honolulu, Hawaii 96813
Contact Name	Laura Shimada
Phone Number	(808) 768-3773
Email Address	ishimada@honolulu.gov
Number of years doing business with	42 years (Client since 1979)
Type of work performed	Recordkeeping services; 457

Business Name	CalSTRS
Mailing Address	100 Waterfront Place, West Sacramento, California 95605
Contact Name	Ms. Sandy Blair
Phone Number	(916) 414-1010
Email Address	sblair@calstrs.com
Number of years doing business with	7 years (Client since 2014)
Type of work performed	Recordkeeping services; 457 and 403(b)

Business Name	County of San Bernardino
Mailing Address	157 W. 5 th Street, San Bernardino, California 92401
Contact Name	Ms. Alexander “Sandy” Meier, Employee Benefits Analyst
Phone Number	(909) 387-9638
Email Address	Alexander.meier@hr.sbcounty.gov
Number of years doing business with	28 years (Client since 1993)
Type of work performed	Recordkeeping services; 457(f), 457, 401(a), 401(k)

Business Name	Fauquier County and Board of Education
Mailing Address	320 Hospital Drive, Suite 34, Warrenton, Virginia 20186
Contact Name	Janelle J. Downes, MPA, SPHR, SHRM-SCP

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Phone Number	(540) 422-8301
Email Address	Janelle.downes@fauquiercounty.gov
Number of years doing business with	3 years (Client since 2018)
Type of work performed	Recordkeeping services; 457, 403(b)

If you have held a contract with the State within the last 5 years, provide a separate list of the contract(s), describe the scope of work performed and include the name, title, phone number and email address for your primary contact for each engagement.

Voya currently provides Recordkeeping and Administration Services to the State of Delaware. Contracts provided to the State:

- **Master Trust Agreement** – Delaware Master Directed Trust Agreement between State of Delaware and Voya Institutional Trust Company, as Trustee, establishing trusts to fund the benefits payable to participants and their beneficiaries under the State of Delaware Deferred Compensation Plan and the State of Delaware Match Plan
- **Custodial Agreement** – State of Delaware Retirement Plans Custodial Account Agreement by and between State of Delaware and Voya Institutional Trust Company
- **Annuity Contract** – Group, Fixed, Deferred Annuity contract for Voya Retirement Choice II 457(b) Governmental
- **Annuity Contract** – Group, Fixed, Deferred Annuity contract for Voya Retirement Choice II 401(a)
- **Annuity Contract** – Group, Fixed, Deferred Annuity contract for Voya Retirement Choice II 403(b) Employer-Controlled

Please contact Kellie Desrosiers, Strategic Relationship Manager, at (860) 883-9615 and Kellie.Desrosiers@voya.com as the primary contact regarding each contract listed above.